

FORM PTO-1449 (Modified)

NOV 01 1999

Docket No.: CA-5740

Serial No. 09/133,813

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

Applicant: Noda et al.

Filing Date: 8/13/98

Art Unit: 3762

UNITED STATES PATENT DOCUMENTS

*Exr's. Inits.	Ref.	Patent No.	Date	Name	Class	Sub	Filing Date (if applicable)
OMB	AA	5,037,383	8/6/91	Vaslef et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

Exr's. Init.	Ref.	Document No.	Date	Country	Class	Sub	Translation? Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

OTHER REFERENCES (Including Author, Date, Title, Pertinent Pages, Etc.)

Exr's Inits	Ref.	Bibliographic Data

Examiner

S. B. Bianchi

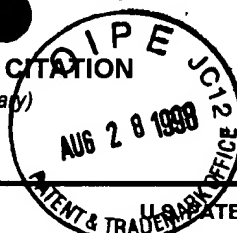
Date Considered

Jan 17, 2000

* Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. §1609. Draw line through citation (i.e., citation) if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



ATTY DOCKET NO.

CA-5740-N

SERIAL NO.

09/133,813

APPLICANT(S)

Wayne A. Noda, et al.

FILING DATE

08/13/98

GROUP

3762
Unknown

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
QMB	1.	5,624,392	04/29/97	Saab			
QMB	2.	5,486,208	01/23/96	Ginsburg			
QMB	3.	5,269,758	12/14/93	Taheri			
QMB	4.	5,262,451	11/16/93	Winters, et al.			
QMB	5.	5,098,376	03/24/92	Berry, et al.			
QMB	6.	4,975,247	12/04/90	Badolato, et al.			
QMB	7.	4,941,475	07/17/90	Williams, et al.			
QMB	8.	4,850,958	07/25/89	Berry, et al.			
QMB	9.	4,639,353	01/27/87	Takemura, et al.			
QMB	10.	4,583,969	04/22/86	Mortensen			
QMB	11.	4,111,209	09/05/78	Wolvek, et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

QMB	1.	J.D. Mortensen, An Intravenacaval Blood Gas Exchange (IVCBGE) Device, 1987, pgs. 570-573, Trans Am Soc Artif Intern Organs Vol. XXXIII

EXAMINER

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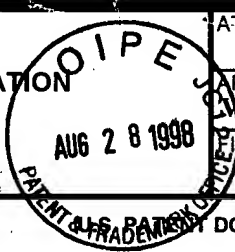
DATE CONSIDERED

Jan 17, 2000

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INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



ATTY DOCKET NO.
CA-5740-N

SERIAL NO. 09/133813
Unknown

APPLICANT(S)
Wayne A. Noda, et al.

FILING DATE
08/13/98

GROUP
Unknown 3762

PATENT & TRADEMARK DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<u>QMB</u>	12.	3,125,096	03/17/64	L. Antiles, et al.			
<u>QMB</u>	14.	3,142,158	07/28/64	L. Podolsky			
<u>QMB</u>	15.	3,238,944	03/08/66	M.L. Hirschhorn			
<u>QMB</u>	16.	3,425,419	02/04/69	A.A. Dato			

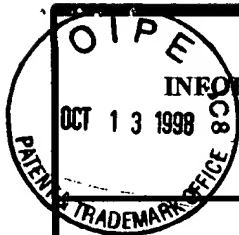
FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER RE Brance DATE CONSIDERED Jan 17, 2000

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INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

CA-5740

Application Number

Unknown

Applicant(s)

Wayne A. Noda, et al.

Filing Date

8/13/98

Group Art Unit

5734 3762

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
QUB	1.	4,911,689	03/27/90	Hattler			
QUB	2.	4,986,809	01/22/91	Hattler			
QUB	3.	5,122,113	06/16/92	Hattler			
QUB	4.	5,207,640	05/04/93	Hattler			
QUB	5.	5,271,743	12/21/93	Hattler			
QUB	6.	5,501,663	03/26/96	Hattler, et al.			

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
QUB	7.	EP 0 853 951 A2	22.07.98	Europe			✓	
QUB	8.	WO 98/26831	25.06.98	PCT			✓	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

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DATE CONSIDERED

Jan 17, 2000

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INFORMATION DISCLOSURE CITATION

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ATTY DOCKET NO.

CA-5740-1

SERIAL NO.

09/133,813
Unknown

APPLICANT(S)

Wayne A. Noda, et al.

FILING DATE

08/13/98

GROUP

3737 3762

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	PATENT & TRADEMARK OFFICE	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
QMB	1.	2,058,780	10/27/36	C. R. Elliott			
QMB	2.	2,077,453	04/20/37	R. W. Albright			
QMB	3.	3,504,674	04/07/70	E. S. Swenson, et al.			
QMB	4.	4,745,922	05/24/88	Taylor			
QMB	5.	4,987,896	01/29/91	Nakamatsu			
QMB	6.	5,078,713	01/07/92	Varney			
QMB	7.	5,261,399	11/16/93	Klatz, et al.			
QMB	8.	5,354,277	10/11/94	Guzman, et al.			
QMB	9.	5,437,673	08/01/95	Baust, et al.			
QMB	10.	5,531,776	07/02/96	Ward, et al.			
QMB	11.	5,716,386	02/10/98	Ward, et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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Sh Bianco

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